

# Municipal Advisor Regulatory Practice

Ed Fierro, Houston, Texas

BRACEWELL

© 2022 Bracewell LLP

# Ed Fierro's Background and Experience

- Sidley Austin LLP
  - Public Finance
- BofA Merrill Lynch
  - Legal Public Finance Investment Banking
- Goldman Sachs & Co.
  - Compliance Municipal Sales & Trading
- U.S. Securities and Exchange Commission
  - Office of Municipal Securities
- Bracewell LLP
  - Public Finance
  - Regulatory

BRACEWELL

# Municipal Advisor Regulatory Practice

- Registration of New Municipal Advisors
- Legal and Compliance for New and Existing Municipal Advisors
- SEC and FINRA Examinations
- SEC and FINRA Enforcement Cases
- Policy, Guidance and Rulemaking

BRACEWELL



**Ed Fierro**  
**Senior Counsel, Houston**  
T: 713.221.1107  
ed.fierro@bracewell.com

BRACEWELL