

I. Follow-Up From MSRB/FINRA Update

- Series 54 exam
- Advertising
- MSRB release on obtaining individual guidance

II. NAMA Compliance Resources

- Continuing Education
- G-42 Checklist Update
- Supervisory Procedures:
 - G-37
 - G-20
- Scope of Services Model Language

III. NAMA Activities

- 2018 Webinars
- Ideas: 2019 Webinars
- 2019 Compliance Roundtable