



**2017 ANNUAL CONFERENCE  
SCOTTSDALE**

# **MA's Fiduciary Duty**

**Moderator, Susan Gaffney**

**Speakers**

**Michael Post**

**Leo Karwejna**

# MA's FIDUCIARY DUTY

---

- **Fiduciary Duty Application in Everyday Practice**
- **What is Advice?**
- **What is a Recommendation?**
- **What is a Conflict?**
- **Handling Advice From Other Parties**
- **IRMA Exemption: How Is It Working In Practice?**
- **Documentation Standards and Practices**
- **Supervisory Practices and G-42**
- **Good Practices > Words of Rulemaking**
- **MSRB MA Compliance Advisory**
- **MA's Fiduciary Duty and the Broader Marketplace**