



Purpose

NAMA is an organization of professionals specializing in providing financial advice to public agencies regarding infrastructure financing, long-term capital improvement, marketing of debt issues, and other municipal advisory engagements. The association brings together leading independent firms and other industry participants to concentrate efforts on improving the service and support to, and protecting the best interests of, public agencies.

NAMA Firm Membership

Member Firms must meet all of the requirements as described in the NAMA Bylaws. These requirements are outlined below.

- The firm must be registered and in good standing with the Securities and Exchange Commission (SEC) and the Municipal Securities Rulemaking Board (MSRB).
- The firm's principal business activity is to provide municipal advisory services to state and local governments or 501(c)(3) organizations.
- The firm cannot be associated with or registered as a broker, dealer or municipal securities dealer.

2018 Dues Structure: Dues are based on a base fee and the number of MA-Is in a firm.

MA-I level	Base Fee	Dues	Total
1	\$400	\$500	\$900
2	\$400	\$1000	\$1400
3-5	\$400	\$1500	\$1900
6-9	\$400	\$3000	\$3400
10-14	\$400	\$5000	\$5400
15-29	\$400	\$7500	\$7900
30+	\$400	\$10,000	\$10,400

Information and Membership Application

Eligible firms may complete this application and submit it to NAMA headquarters by mail or email. Once your membership application is received, it will be reviewed by the Membership Committee and Board of Directors. Upon approval, an invoice for your annual membership dues will be sent. No membership is considered active until membership dues are received. For further information, please contact NAMA headquarters:

Susan Gaffney, Executive Director
National Association of Municipal Advisors
19900 MacArthur Blvd., Suite 1100
Irvine, CA 92612
844-770-NAMA (6262) Susan@municipaladvisors.org



NAMA Application for Firm Membership

Thank you for your interest in becoming a NAMA firm member. Please complete the following and submit all pages of the application for consideration.

Firm Membership Eligibility

Member firms must be qualified by experience and practice to advise and assist public agencies on financing capital improvements and in issuing bonds, as their primary business.

1. Is the firm registered and in good standing with the Securities and Exchange Commission (SEC) and the Municipal Securities Rulemaking Board (MSRB)?

Yes No

Please provide the following information:

EDGAR CIK# _____ MSRB Number _____

2. Is the principal activity of the firm to provide municipal advisory services to state and local governments and/or 501(c)(3) organizations?

Yes No

3. Is the firm associated with, or registered as a broker, dealer, or municipal securities dealer?

Yes No

4. Are municipal advisory activities the primary business activity of the firm?

Yes No

I hereby certify that the information submitted in this application is truthful and accurate.

(Name and Title)

(Date)

(Name of Firm)



Firm Contact Information

The NAMA Firm Membership belongs to the firm and not any one individual at the firm. If approved for membership, all staff qualify for membership benefits. Please complete the following information regarding your firm:

Firm Name			
Firm Address (Principal Office)	City	State	Zip
Telephone Number	Fax Number		
Telephone Number	Fax Number		
Key Contact for NAMA Membership			
Key contact email			
Firm web site			

Type of Firm (present): Corporation Partnership Sole Proprietor

Year firm was initially established: _____

Number of MA-Is registered with the SEC: _____



Officers, Principals and Professional Staff

Officers or Principals of Firm, including any and all stockholders or partners (may include on a separate sheet of paper)

Name and Title	Email

Professional Staff in Firm With MA-I status (may include on a separate sheet of paper)

Name and Title	Email

Designated Firm Representative

If your firm is accepted as a member of NAMA, the designated representative shall be (if different than the above key contact for NAMA membership):

Name:	
Title:	
Address:	
Telephone:	
Fax:	
Email:	

Attached to this application, please include the names, addresses and email addresses of those individuals in your firm that should be included in the NAMA database, and receive *NAMANews* and other information disseminated to NAMA members.