



**2017 ANNUAL CONFERENCE
SCOTTSDALE**

**SEC
OFFICE OF MUNICIPAL
SECURITIES**

**Mike Sudsina, Moderator
Hillary Phelps, Speaker**

SEC Update

- **Proposed Amendments to Exchange Act Rule 15c2-12**
- **Proposed Amendments to MSRB Rule G-34 (CUSIPs)**
- **Other Updates**
 - Recent Enforcement Actions
 - MA Registration
 - Resources